

**DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  **$\beta$ -CATENIN ASSAYS, AND COMPOSITIONS THEREFROM** the specification of which (check one): ☒ is attached hereto; ☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable); ☐ was filed as PCT International Application No. \_\_\_\_\_ on \_\_\_\_\_ and was amended under Article 19 on \_\_\_\_\_ (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

			Priority Claimed	
			<input type="checkbox"/>	<input type="checkbox"/>
(Application Serial Number)	(Country)	(Day/Month/Year Filed)	Yes	No
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
(Application Serial Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

_____	(Day/Month/Year Filed)
(Application Serial Number)	
_____	(Day/Month/Year Filed)
(Application Serial Number)	

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

60/253,325	27 November 2000	Pending
(Application Serial Number)	(Day/Month/Year Filed)	(Status-Patented, Pending or Abandoned)
08/812,994	4 March 1997	Patented
(Application Serial Number)	(Day/Month/Year Filed)	(Status-Patented, Pending or Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

[Joseph A. Williams, Jr. (38,659)

Laura A. Handley (39,704)

**Send correspondence to:** Joseph A. Williams, Jr.

FIRM NAME	PHONE NO.	STREET	CITY & STATE	ZIP CODE
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Full Name of First or Sole Inventor Carl Alexander Kamb	Citizenship U. S. A.
Residence Address - Street 696 Donner Hill Circle	Post Office Address - Street
City (Zip) Salt Lake City 84108	City (Zip)
State or Country Salt Lake City (USA)	State or Country
Date November 16, 2001	Signature <input checked="" type="checkbox"/>

Second Joint Inventor, if any Sanghee Yoo	Citizenship Korean
Residence Address - Street c/o Deltagen Proteomics Inc., 615 Arapeen Drive, #300	Post Office Address - Street
City (Zip) Salt Lake City 84108	City (Zip)
State or Country Utah (USA)	State or Country
Date November 16, 2001	Signature <input checked="" type="checkbox"/>

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State or Country Utah (USA)	State or Country
Date November 16, 2001	Signature <input checked="" type="checkbox"/>

Fourth Joint Inventor, if any Michael Leslie Pierce	Citizenship U. S. A.
Residence Address - Street 2511 East 2860 South	Post Office Address - Street
City (Zip) Salt Lake City 84109	City (Zip)
State or Country Utah 84108	State or Country
Date <input checked="" type="checkbox"/>	Signature <input checked="" type="checkbox"/>

# PATENT

Attorney's Docket No: VEN 009/01/PCT

Applicant or Patentee: Deltagen Proteomics Inc.

Serial or Patent No: (to be assigned)

Filed or Issued: (herewith)

For:  $\beta$ -CATENIN ASSAYS AND COMPOSITIONS THEREFROM

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) -- SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ The owner of the small business concern identified below:
- ☒ An official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Deltagen Proteomics Inc.

ADDRESS OF BUSINESS 615 Arapeen Drive  
Suite 300  
Salt Lake City, Utah 84108

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to, and remain with, the small business concern identified above with regard to the invention, entitled  **$\beta$ -CATENIN ASSAYS AND COMPOSITIONS THEREFROM**, by inventor(s) Carl Alexander Kamb, Sanghee Yoo, Miguel Garcia-Guzman, Michael Leslie Pierce,

described in

- ☒ The specification filed herewith.
- ☐ Application Serial No. , filed .
- ☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern

which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).*

NAME: Deltagen Proteomics Inc.  
ADDRESS: 615 Arapeen Drive, Suite 300  
Salt Lake City, Utah 84108

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Laura A. Handley  
TITLE OF PERSON OTHER THAN OWNER: Associate General Counsel and  
Senior Director of Intellectual Property  
ADDRESS OF PERSON SIGNING: 615 Arapeen Drive, Suite 300  
Salt Lake City, Utah 84108

Date: November 16, 2001

SIGNATURE: 